EU Risk Governance Regulation

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Course aims and intended learning outcomes

The aim is to provide knowledge of the regulation issued by the European Union (EU) on the system of governance (governance, risk management and compliance) of the undertakings, which are operating in the financial services (banking, finance and insurance). The course will also compare such a regulation to the principles issued by international bodies in order to ascertain similarities and differences. The expected outcome consists in providing students of knowledge and skill to identify commonalities and specificities of the EU regulation in the financial services (banking, financial markets and insurance).

Course content

Sources of the EU Risk Governance regulation.
Principles of Corporate Governance.
System of Governance.
Product Oversight Governance.
Cross-selling
Principles on Risk Governance issued by International bodies.

Reading list

Text's book is not indicated. I didn't forget it, but the "problem" is … we don't have one! This is probably the first graduate course in the world, which is entirely focused on these topics. Therefore a text is not available, while several sources can be profitably used. This is a research course, so students are expected to make own research works under the guidance of the teacher.

Teaching method

Students are requested to attend a first set of lessons in order to get the regulatory background, which allows them to make research individually or in team, depending on the number. A second set of lesson will be focused on discussions between students and teacher on the progression of their research works. Students will dedicate a final set of lesson to the presentations of the research work.

Assessment method and criteria

Final grades will be given on the research work and participation to discussion. Final grades will be granted based on the following criteria: (i) completeness of the sources used, (ii) ability to prioritize and summarize the relevant issues.